



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter Szor et al.  
Assignee: Symantec Corporation  
Title: METHOD AND SYSTEM TO DETECT DANGEROUS FILE NAME  
EXTENSIONS  
Serial No.: 10/718,375 Filed: November 19, 2003  
Examiner: Unassigned Group Art 2171  
Unit:  
Docket No.: SYMC1040

Monterey, CA  
February 25, 2004

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant wishes to call the following document to the attention of the Examiner:

**OTHER DOCUMENT:**

1)	No author given. "Definition of file-type extension", Scala, Inc., pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://www.scala.com/definition/file-type-extension.html>.
2)	No author given. "extension", Learnthat.com, pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://www.learnthat.com/define/e/extension.shtml>.
3)	No author given. "extension", whatis.techtarget.com, pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL: http://whatis.techtarget.com/definition/0,,sid9_gci212088,00.html>.
4)	No author given. "Extension Manager", PCWORLD.COM, pp. 1-2 [online]. Retrieved on September 12, 2003. Retrieved from the Internet:<URL:http://www.pcworld.com/downloads/file_description/0,fid,15900,00.asp>.



- |    |  |
|----|--|
| 5) | No author given. "file name extension", Telecom Glossary 2K, pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://www.atis.org/tg2k/_file_name_extension.html>.   |
| 6) | White, Myles, "Getting Windows to display file extensions could save you from virus attacks like "I Love You." New Virus Threat. Silly Season (virus hoaxes and other dumbness).", Toronto Star Fast Forward column for July 20, 2000, pp. 1-3 [online]. Retrieved on September 12, 2003. Retrieved from the Internet:<URL:http://www.computerwriter.com/Star/2000/jul/cw072000%20-%20getting_windows_to_display_file_.htm>. |

A PTO form 1449 listing this document is enclosed.

Citation of the above document shall not be construed as:

1. an admission that the document is necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

If the USPTO issued a first office action prior to the mailing date of this paper, the Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 25, 2004.

Attorney for Applicant(s)

February 25, 2004

Date of Signature

Respectfully submitted,

Lisa A. Norris  
Attorney for Applicants  
Reg. No. 44,976  
(831) 655-0880

Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Atty Docket No.

SYMC1040

Serial No.

10/718,375

Applicant(s)

Peter Szor et al.

Filing Date

November 19, 2003

Group

2171

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	No author given. "Definition of file-type extension", Scala, Inc., pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://www.scala.com/definition/file-type-extension.html>.
AS	No author given. "extension", Learnthat.com, pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://www.learnthat.com/define/e/extension.shtml>.
AT	No author given. "extension", whatis.techtarget.com, pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://whatis.techtarget.com/definition/0,,sid9_gci212088,00.html>.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>				<b>Atty Docket No.</b> SYMC1040		<b>Serial No.</b> 10/718,375	
				<b>Applicant(s)</b> Peter Szor et al.			
				<b>Filing Date</b> November 19, 2003		<b>Group</b> 2171	

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

  

FOREIGN PATENT DOCUMENTS								
							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

  

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AR	No author given. "Extension Manager", PCWORLD.COM, pp. 1-2 [online]. Retrieved on September 12, 2003. Retrieved from the Internet:<URL:http://www.pcworld.com/downloads/file_description/0, fid,15900,00.asp>.
	AS	No author given. "file name extension", Telecom Glossary 2K, pg. 1 [online]. Retrieved on August 22, 2003. Retrieved from the Internet:<URL:http://www.atis.org/tg2k/_file_name_extension.html>.
	AT	White, Myles, "Getting Windows to display file extensions could save you from virus attacks like "I Love You." New Virus Threat. Silly Season (virus hoaxes and other dumbness).", Toronto Star Fast Forward column for July 20, 2000, pp. 1-3 [online]. Retrieved on September 12, 2003. Retrieved from the Internet:<URL:http://www.computerwriter.com/Star/2000/jul/cw072000%20-%20getting_windows_to_display_file_.htm>.

  

Examiner	Date Considered
----------	-----------------

  

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).